

Management of Track Records of Applicants¹
(Effective from 27 January 2017)

Improprieties	Description	Gravity	Actions^{2,3}
Scientific Misconduct ⁴	Plagiarism, fraudulence, etc.	Serious	i. Disqualification in the related funding exercise; and ii. Debar for 5 years
Double dipping not declared	Receiving grant from other funding agencies	Heavy	i. Disqualification in the related funding exercise; and ii. Debar for 1 year
	Submission of grant applications or similar proposals to other funding agencies	Light	Warning letter
Conflict of Interest not declared	Co-authorship, collaborator, advisor / advisee relationship with the nominated reviewer in the past 3 years at the time of grant application	Medium	Disqualification in the related funding exercise
	Co-authorship, collaborator, advisor / advisee relationship with the nominated reviewers in the past 4 years or more at the time of grant application	Light	Warning letter
Non-compliance	No submission of final report by deadline without valid justification	Heavy	i. Withhold funding of the project or recovery of the grant ii. Debar for 2 years and until the final report is submitted
	Early termination or incomplete project without valid justification; or research work done before the project commencement not declared	Heavy	i. Partial payment or recovery of grant ii. Debar for 2 years
	Final report graded "Unredeemable" or "Unacceptable"	Medium	Withhold 10% or 20% of the final payment subject to the terms and conditions in the agreement

¹ Unless otherwise determined, the principal applicant shall be held primarily responsible for the conduct of the project and any penalties imposed as a consequence of any misconduct or non-compliance.

² The track record of the principal applicant who has committed any of the improprieties mentioned in this Annex shall be marked for and taken into account when considering of future grant applications for up to 5 years.

³ If the misconduct is reported after commencement of the study, assessment will be made to determine whether any of the approved amount should be returned to the Government.

⁴ Scientific misconduct means fabrication, falsification, plagiarism, or other practices that seriously deviate from those that are commonly accepted within the scientific community for proposing, conducting, or reporting research. It does not include honest error or honest differences in interpretations or judgements of data.